

Oncotype DX Breast Recurrence Score® Report

Important Update on the RxPONDER Trial Results in Node Positive Breast Cancer

The SWOG S1007 study, known as RxPONDER, is a prospective multinational phase III clinical trial in women (≥18yrs) with hormone receptor-positive, HER2-negative invasive breast cancer with 1-3 positive axillary lymph nodes. The study included 5018 eligible women with a Recurrence Score® (RS) result of 0-25 who were randomized to endocrine therapy (ET) alone versus chemotherapy (CT) followed by endocrine therapy. Women with a Recurrence Score result of 26-100 were recommended to undergo chemotherapy followed by endocrine therapy.¹

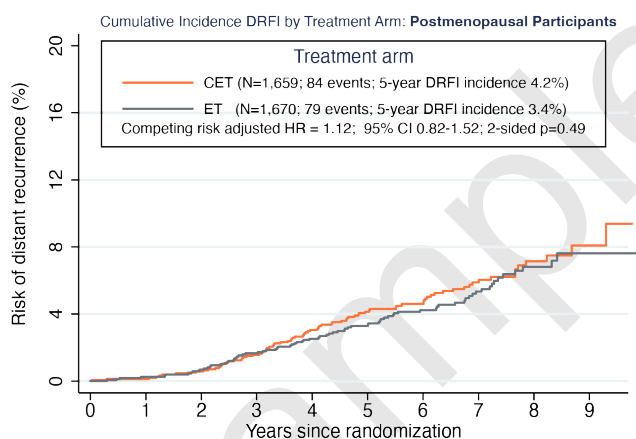
The data have been published in the New England Journal of Medicine² in December 2021 and were further updated at the 2021 San Antonio Breast Cancer Symposium.³ Median follow-up of the SABCS analysis was 6.1 years.

RxPONDER Results by Menopausal Status — Effect of chemotherapy on absolute risk of distant recurrence in women with 1-3 positive axillary lymph nodes and RS result of 0-25.

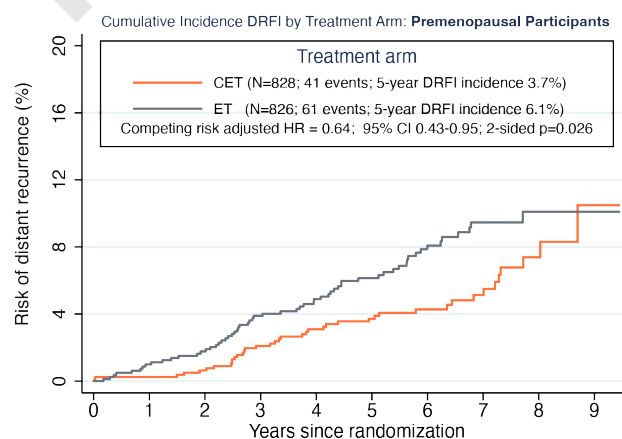
Postmenopausal women with RS result of 0-25 did not show benefit of chemotherapy in addition to endocrine therapy (competing risk adjusted HR = 1.12, 95% CI 0.82-1.52, p=0.49). Consistent lack of chemotherapy benefit was observed for IDFS for subgroups of age, tumor size, grade, Recurrence Score result, and number of positive lymph nodes.

Premenopausal women with RS result of 0-25 had a significant benefit in DRFI from the addition of chemotherapy to endocrine therapy (competing risk adjusted HR 0.64, 95% CI 0.43-0.95, p=0.026). Consistent benefit of chemotherapy was observed for IDFS for subgroups of age, tumor size, Recurrence Score result, and number of positive lymph nodes. The 5-year absolute benefit of chemotherapy for distant recurrence was 2.4% (RS 0-13: 2.3%; RS 14-25: 2.8%).

Rate of Distant Recurrence by Menopausal Status



Number at risk	
CET	1659 1567 1514 1448 1291 1152 884 571 261 71
ET	1670 1614 1569 1491 1345 1201 916 582 264 71



Number at risk	
CET	828 786 761 714 641 575 421 266 106 22
ET	826 780 751 712 631 555 420 247 93 28

*DRFI = Time from randomization assignment to date of first invasive recurrence (distant) or death from breast cancer

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Oncotype DX Breast Recurrence Score® Report

Micromets & Node Positive (1-3)

**EXACT
SCIENCES**

PATIENT, SAMPLE

Date of Birth: **DD-MM-1950** Gender: **Female** Report Number: **OR000123456-3021** Report Date: **21-Oct-2022**
 Specimen Source/ID: **Breast/SP-16_0123456**
 Ordering Physician: **Dr. First-Name I. Ordering-Physician-Last-Name**

Recurrence Score® (RS) Result

10

Distant Recurrence Risk at 9 Years

With AI or TAM Alone

12%

95% CI (8%, 16%)

TransATAC

Group Average Absolute Chemotherapy (CT) Benefit*

RS 0-17

**No
Apparent
Benefit**

SWOG 8814

Clinical factors may be considered with the RS when making individual treatment decisions.

AI = Aromatase Inhibitor / TAM = Tamoxifen
 CI = Confidence Intervals

*Refer to the cover sheet to incorporate the findings from the RxPONDER study.

Real World Evidence of SEER Registry Outcomes in Patients Treated Without CT Based on RS Results

	RS 0-10	RS 11-15	RS 16-20	RS 21-25	RS 26-100
# of Patients	1808	2196	1754	692	364
BCSS at 9 Years	98.2%	99.0%	96.7%	93.1%	84.2%

BCSS = Breast cancer-specific survival

Quantitative Single-Gene Scores¹

10.8 ER Positive



7.3 PR Positive



10.0 HER2 Negative

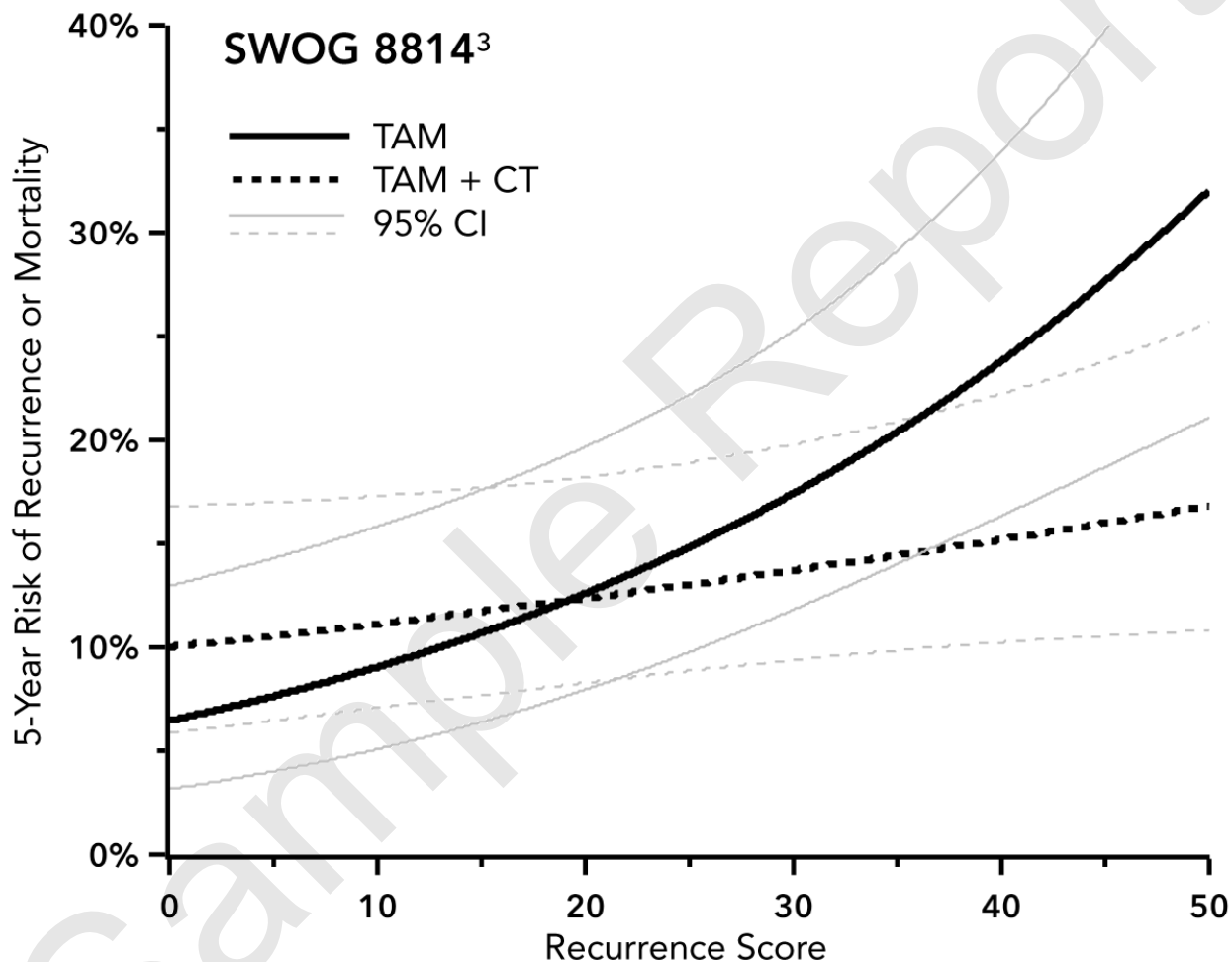


1. ER Score based on quantitative ESR1 expression (estrogen receptor); PR Score based on quantitative PGR expression (progesterone receptor); HER2 Score based on quantitative ERBB2 expression.

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Estimated Chemotherapy Benefit for Individual Recurrence Score Results



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**EXACT
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PATIENT, SAMPLE

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Specimen Source/ID: **Breast/SP-16_0123456**

Ordering Physician: **Dr. First-Name I. Ordering-Physician-Last-Name**

Medical Record/Patient #: **1234567-01**

Client: **Community Medical Center**

Date of Collection: **06-Oct-2022**

Specimen Received: **08-Oct-2022**

Additional Recipient: **Dr. First-Name I. Recipient-Physician-Last-Name**

Pathologist: **Dr. First-Name I. Pathologist-Last-Name**

The Oncotype DX Breast Recurrence Score test uses RT-PCR to provide information on prognosis and the magnitude of chemotherapy benefit to guide chemotherapy treatment decisions in patients with early-stage, hormone receptor-positive (HR+), and lymph node-negative or lymph node-positive breast cancer. Decision on treatment should also be based on independent medical judgement of the treating physician taking into consideration all available information concerning the patient's medical condition, including other pathological tests, in accordance with your community's standard of care.

Results in this report are based on studies including both micrometastases and 1-3 positive nodes.

Advances in histopathological techniques and changes in staging criteria have resulted in an increase in the number of patients diagnosed with lymph node micrometastases (0.2 mm - 2.0 mm). Previous study results varied regarding their clinical significance. BCSS in SEER¹ for patients with RS 0-17 treated without chemotherapy are similarly favorable for patients with negative nodes, micrometastases, and 1-3 positive nodes.

The **Recurrence Score (RS) Result**, which ranges from 0-100, is calculated from the quantitative RT-PCR analysis of the 21 genes.

The **Distant Recurrence Risk** at 9 Years (Prognosis), in patients treated with tamoxifen or arimidex alone, is provided by the TransATAC² trial. Risk is for individual RS results. The 95% confidence intervals for distant recurrence at 9 years are ± 3 to $\pm 6\%$ for RS 0-22, and range from ± 6 to $\pm 12\%$ as RS increases from RS 23-50. The TransATAC trial enrolled 1,231 patients and 243 patients had 1-3 positive nodes, including micrometastases.

The **Absolute Benefit of Chemotherapy** is provided by the SWOG 8814³ trial. Results for reduction in distant recurrence or death at 5 years are for the RS groups 0-17, 18-30, and 31-100. The SWOG 8814 trial enrolled 367 patients with N+ (including micrometastases), ER+ breast cancer who were randomized to tamoxifen alone or tamoxifen plus CAF (anthracycline-containing) chemotherapy. The benefit of chemotherapy increased with an increase in the RS result. The upper bound of the 95% confidence interval for RS 18-30 was 7% absolute chemotherapy benefit.

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SEER had 6,814 patients with HR+, HER2-, node positive (1-3 positive nodes or micrometastases) breast cancer, diagnosed between January 2004 and December 2014, who were reported to have no or unknown chemotherapy use. Two additional prospective studies also demonstrated favorable outcomes with endocrine therapy alone for patients with 1-3 positive nodes and RS 0-11 (PlanB⁴) or RS 0-17 (Clalit⁵).

Quantitative Single-Gene Scores for quality control. The Oncotype DX test uses quantitative RT-PCR to determine the RNA expression of ER, PR, and HER2, using the published validated cut-offs⁶. The standard deviations of single-gene results are less than 0.5 units. The RT-PCR single-gene results may differ from ER, PR, or HER2 results reported using other methods or reported by other laboratories.

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Laboratory Director(s): William P. Joseph, M.D.

This test was developed and its performance characteristics determined by Genomic Health, Inc. It has not been cleared or approved by the FDA, nor is it currently required to be. The laboratory is regulated under CLIA and qualified to perform high-complexity testing. This test is used for clinical purposes. It should not be regarded as investigational or for research.

Genomic Health, Inc.

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CLIA Number 05D1018272

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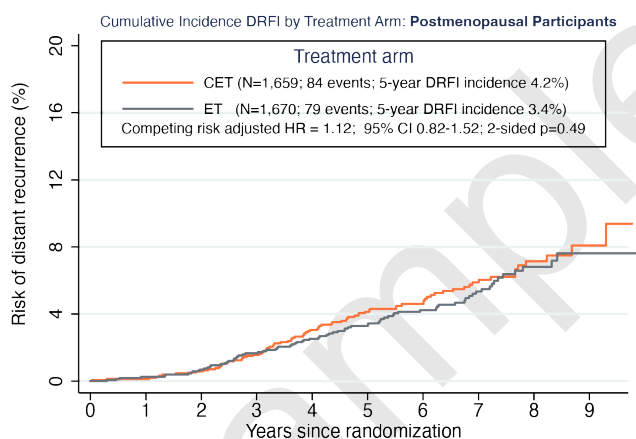
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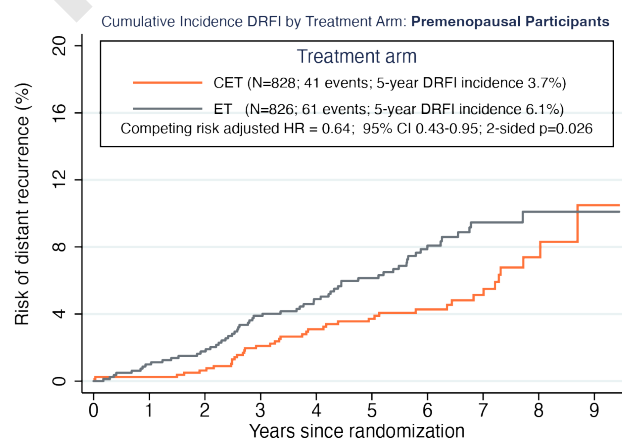
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Rate of Distant Recurrence by Menopausal Status



Number at risk										
	0	1	2	3	4	5	6	7	8	9
CET	1659	1567	1514	1448	1291	1152	884	571	261	71
ET	1670	1614	1569	1491	1345	1201	916	582	264	71



Number at risk										
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CET	828	786	761	714	641	575	421	266	106	22
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Micromets & Node Positive (1-3)

PATIENT, SAMPLE

Date of Birth: **DD-MM-1950** Gender: **Female** Report Number: **OR000123456-3150** Report Date: **21-Oct-2022**
 Specimen Source/ID: **Breast/SP-16_0123456**
 Ordering Physician: **Dr. First-Name I. Ordering-Physician-Last-Name**

Recurrence Score® (RS) Result




22

Clinical factors may be considered with the RS when making individual treatment decisions.

Distant Recurrence Risk at 9 Years

With AI or TAM Alone



18%

95% CI (13%, 23%)

TransATAC

AI = Aromatase Inhibitor / TAM = Tamoxifen
 CI = Confidence Intervals

Group Average Absolute Chemotherapy (CT) Benefit*

RS 18-30

CT Benefit for this group cannot be excluded.

95% CI (-5%, 7%)

SWOG 8814

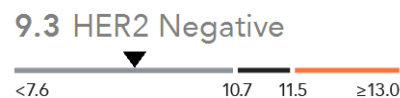
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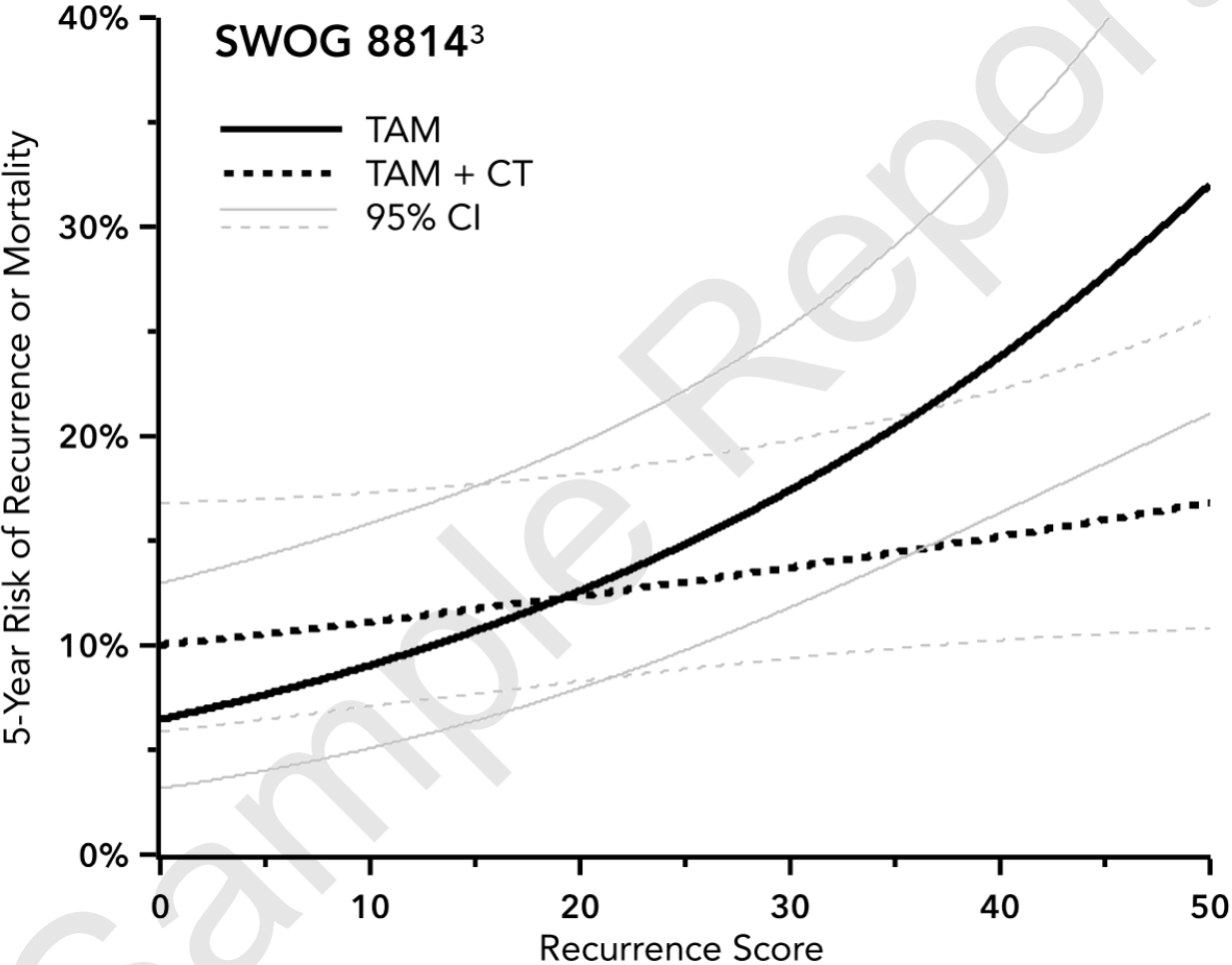


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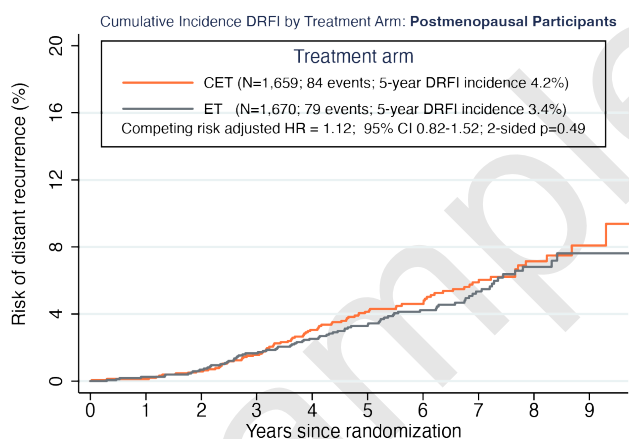
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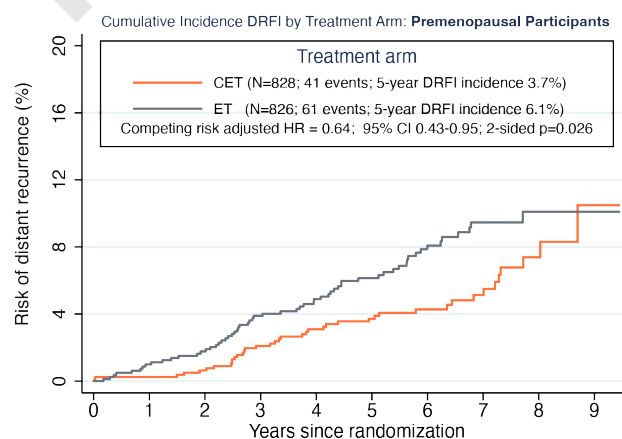
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Micromets & Node Positive (1-3)

**EXACT
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 Specimen Source/ID: **Breast/SP-16_0123456**
 Ordering Physician: **Dr. First-Name I. Ordering-Physician-Last-Name**

Recurrence Score® (RS) Result

31

Distant Recurrence Risk at 9 Years

With AI or TAM Alone

24%

95% CI (18%, 30%)

TransATAC

Group Average Absolute Chemotherapy (CT) Benefit*

RS 31-100

~15%

95% CI (3%, 28%)

SWOG 8814

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BCSS = Breast cancer-specific survival

Quantitative Single-Gene Scores¹

7.4 ER Positive

<3.7 6.5 ≥12.5

5.6 PR Positive

<3.2 5.5 ≥10.0

9.7 HER2 Negative

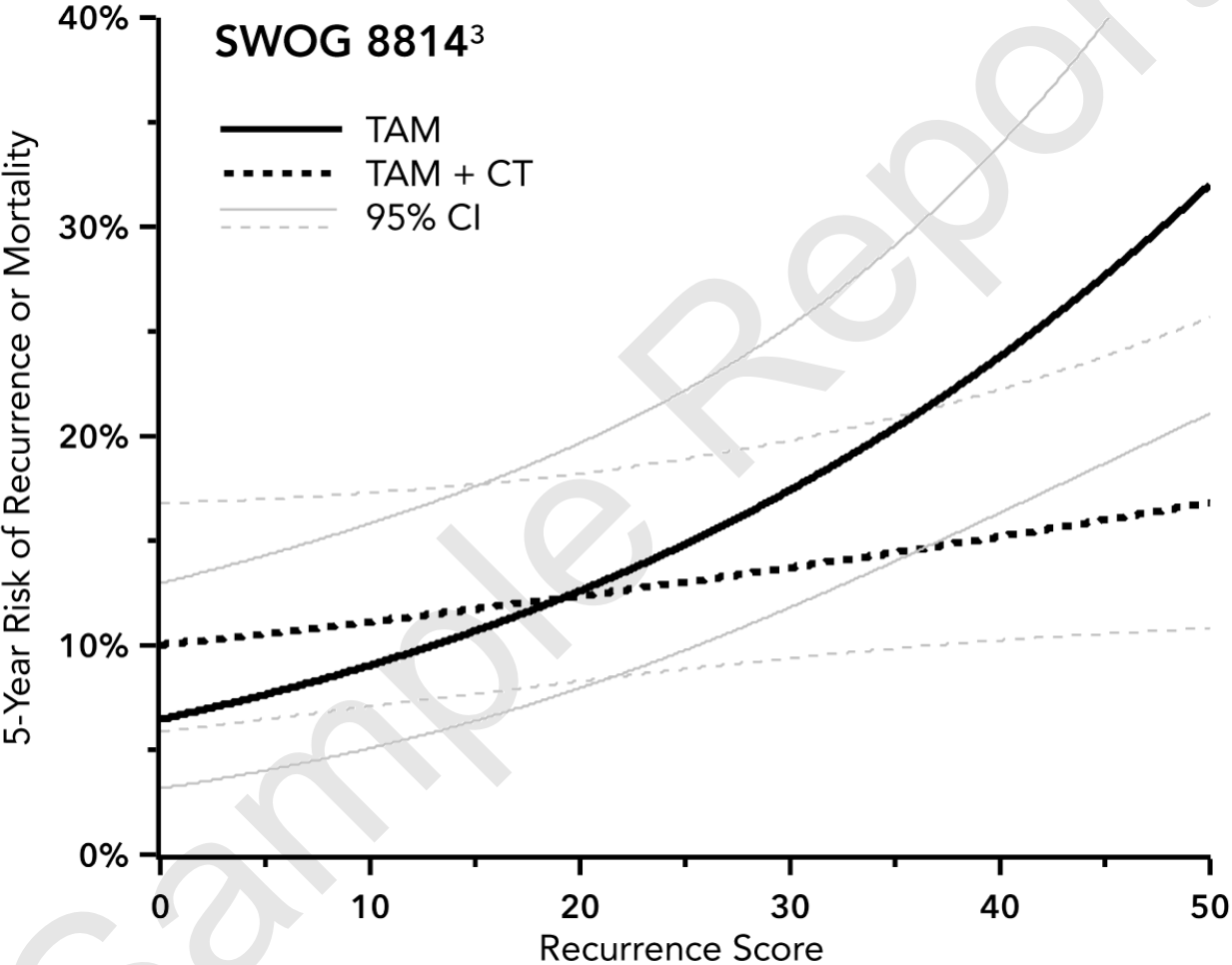
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Micromets & Node Positive (1-3)

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The **Recurrence Score (RS) Result**, which ranges from 0-100, is calculated from the quantitative RT-PCR analysis of the 21 genes.

The **Distant Recurrence Risk** at 9 Years (Prognosis), in patients treated with tamoxifen or arimidex alone, is provided by the TransATAC² trial. Risk is for individual RS results. The 95% confidence intervals for distant recurrence at 9 years are ± 3 to $\pm 6\%$ for RS 0-22, and range from ± 6 to $\pm 12\%$ as RS increases from RS 23-50. The TransATAC trial enrolled 1,231 patients and 243 patients had 1-3 positive nodes, including micrometastases.

The **Absolute Benefit of Chemotherapy** is provided by the SWOG 8814³ trial. Results for reduction in distant recurrence or death at 5 years are for the RS groups 0-17, 18-30, and 31-100. The SWOG 8814 trial enrolled 367 patients with N+ (including micrometastases), ER+ breast cancer who were randomized to tamoxifen alone or tamoxifen plus CAF (anthracycline-containing) chemotherapy. The benefit of chemotherapy increased with an increase in the RS result. The upper bound of the 95% confidence interval for RS 18-30 was 7% absolute chemotherapy benefit.

Real World Evidence of SEER Registry Outcomes in Patients Treated Without Chemotherapy Based on RS Results

SEER had 6,814 patients with HR+, HER2-, node positive (1-3 positive nodes or micrometastases) breast cancer, diagnosed between January 2004 and December 2014, who were reported to have no or unknown chemotherapy use. Two additional prospective studies also demonstrated favorable outcomes with endocrine therapy alone for patients with 1-3 positive nodes and RS 0-11 (PlanB⁴) or RS 0-17 (Clalit⁵).

Quantitative Single-Gene Scores for quality control. The Oncotype DX test uses quantitative RT-PCR to determine the RNA expression of ER, PR, and HER2, using the published validated cut-offs⁶. The standard deviations of single-gene results are less than 0.5 units. The RT-PCR single-gene results may differ from ER, PR, or HER2 results reported using other methods or reported by other laboratories.

References:

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6. Badve et al. *J Clin Oncol.* 2008.; Baehner et al. *J Clin Oncol.* 2010.

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This test was developed and its performance characteristics determined by Genomic Health, Inc. It has not been cleared or approved by the FDA, nor is it currently required to be. The laboratory is regulated under CLIA and qualified to perform high-complexity testing. This test is used for clinical purposes. It should not be regarded as investigational or for research.

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